

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Att. Docket No.: 3124-2

#7p3
12/20/01
1645

In re application of
Richard J. Feldmann
Serial No. 09/866,925
Filed: May 30, 2001

Group Art Unit 1645

For: Algorithmic determination of flanking DNA sequences that control the expression of
sets of genes in prokaryotic, archea and eukaryotic genomes

INFORMATION DISCLOSURE STATEMENT

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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application;
or before mailing date of first Office action on the merits; whichever occurs last.)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Certification under 37 CFR 1.97(e), or
☐ a \$240.00 fee under 37 CFR 117(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance,
whichever occurs first.)
- ☐ under 37 CFR 1.97(d) together with either a:
☐ Certification under 37 CFR 1.97(e); and
☐ a petition under 37 CFR 1.97(d)(2)(ii), and
☐ a \$130.00 petition fee set forth in 37 CFR §117(i)(1).
(Filed after final action or notice of allowance, whichever occurs first, but before
payment of the issue fee.)

Applicant(s) submits herewith Form PTO 1449-Information Disclosure Citation together with copies
of patents, publications or other information of which applicant(s) is aware, which applicant(s) believe(s) may
be material to the examination of this application and for which there may be a duty to disclose in accordance
with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which applicant(s) is aware.

Applicant(s) submits that the above references taken alone or in combination neither anticipate nor
render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully
requested.

Respectfully submitted,

Jim Zegeer

Jim Zegeer, Reg. No. 18,957
Attorney for Applicant(s)

Attachments:

Form PTO-1449 and cited references

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Date: December 10, 2001

In the event this paper is deemed not timely filed,
the applicant hereby petitions for an appropriate
extension of time. The fee for this extension may
be charged to Deposit Account No. 26-0090 along
with any other additional fees which may be
required with respect to this paper.